Strategic Management of Regulatory and Enforcement Agencies

This programme explores the operations and management of regulatory and enforcement agencies and the challenges that surrounds them, focusing on issues of social and economic regulation. It will also focus on the distinctive character of the risk-control task, and pressures for regulators to prove their worth and effectiveness and identifies areas of accountability and governance.

Aim

To provide participants with a clear understanding of:

1. How to adopt a risk-based approach to regulatory policy
2. The full range of tools available to regulatory policymakers to identify and control risks
3. The difficulties of performance measurement in the regulatory domain
4. How policymakers can develop effective collaborative partnerships with diverse stakeholder groups.

Methodology

The training approach to be used, which governs the design and delivery of the training is based on the following principles:

- To actively involve the participants throughout the training
- To enable participants to share their thoughts and enquiries
- The training is designed based on the needs and expectations of the participants that are reflected in the pre-training questionnaire

Workshop methods and tools

In order to achieve the objectives of the training, the following tools and methods will be utilised:

- **Presentations:** presentations will be used in order to provide the background of each subject and create discussion and questions.

- **Discussions:** throughout the training, participants will be encouraged to contribute to discussions and share their experiences and thoughts with the rest of the group. The discussions will be facilitated and coordinated by the facilitator in order to maintain the focus in the key areas of interest

- **Case studies:** case studies will be used so as to facilitate and trigger discussion, as well as allow external benchmarking so as to achieve competitiveness

- **Group work:** group work will be used in order to facilitate practical understanding of the issues and in order to enhance team working, team building and cooperation amongst participants.

Sample programme

10.00   Welcome and introductions

Improving operations

- Defining and gaining 'buy-in' for the core purpose and approach
- Articulating a coherent vision externally and internally
- Improving customer service focus and engaging staff
- Driving efficiency and effectiveness through process improvement and performance management

Refreshment Break

Assess and controlling risk

- Strategic risk, project risk and business/delivery risk: categorising and planning
- Tools and techniques for control and handling
- Risk accountability and reporting

Lunch

Smart 'risk-based' enforcement/operations

- Value for money
- Business friendly enforcement

Refreshment Break

Strategic collaboration

- Mapping stakeholders
- Having audit conversations

17.00   **Close**

Our trainer

Tim Soane

Tim Soane is highly experienced at operating at Board level, with senior public sector officials and Ministers and Parliament. He has been involved in setting the vision for the growth and success of organisations, while equipping executives with the tools for strategy formulation and implementation to help them successfully deal with change.

Tim has held many Director Levels in his career. He directed the Department for Business, Innovation and Skills as a Better Regulation Executive, leading delivery of the Coalition Government's new policies on better regulation.

He led policy on the national minimum wage and on individual and collective employment rights when he was Director of Employment Relations at the Department for Business, Enterprise and Regulatory Reform.

Furthermore, Tim was Director of Competitiveness Strategy at the Department of Trade and Industry, where he led the strategic development of new business support schemes and competitiveness for the UK across all government departments. He also directed the Corporate Centre, leading HR strategy, culture change and building IT systems, and project and programme management capability.